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## **SCHEDULE**

[See Rule 4(i) and 7(i)]

<b>S.No.</b>	<b>Year</b>	<b>Number of used batteries to be collected back</b>
1.	During first year of implementation of rules	50% of new batteries sold
2.	During Second year of implementation of rules	75% of new batteries sold
3.	After second year of implementation of rules	90% of new batteries sold

# FORM – I

[See rule 4(iii)]

## FORM FOR FILLING RETURNS OF SALE OF NEW BATTERIES AND COLLECTION OF USED BATTERIES

[To be submitted by manufacturer/assembler/reconditioner by 30<sup>th</sup> June (for the period October-March) and 31<sup>st</sup> December (for the period April, September) every year]

1.	Name and address of the manufacturer/ assembler/reconditioner	
2.	Name of the authorised person and complete address with telephone and fax numbers	
3.	Total number of new batteries sold during the period October-March/ April-September in respect of the following categories  Category (i) Automotive (a) Four Wheeler (b) Two Wheeler (ii) Industrial (a) UPS (b) Motive Power (c) Stand-by (iii) Other (inverters, etc.) Number of batteries sold too (i) dealers (ii) bulk consumers (iii) OEM (iv) Any other party for replacement should be indicated separately.	(i) No. of Batteries  (ii) Approximate Weight (in Metric Tonnes)
4.	Name and full address of the designated collection centres	
5.	Total Number of used batteries of different categories as at Sl. No. 3 collected and sent to the registered recyclers*	

\* enclose the list of recyclers to whom batteries have been sent of recycling.

Place .....

Date .....

Signature of the authorised person

FORM-II

[ SEE RULE 5 & 6(ii) ]

**FORM FOR REGISTRATION OF IMPORTER OF NEW LEAD ACID  
BATTERIES/PRIMARY LEAD**

[To be submitted in triplicate to the Ministry of Environment & Forests]

1. Name and address of the importer
  
  
  
  
  
  
  
  
  
  
2. Import/Export Licence No.
  
  
  
  
  
  
  
  
  
  
3. Name of person/owner/occupier as the case may be

Date .....

Place.....

Signature of the Importer

FORM-III  
[SEE RULE 6(iii)]

[To be submitted by importer of new lead acid batteries]

**UNDERTAKING**

To

The Member Secretary,  
State Pollution Control Board

1. I ..... of M/s ..... Hereby submit that I am in the process of importing ..... (MT) of new lead acid batteries.
2. I undertake that I shall collect back the used batteries as per the schedule prescribed by the Government from time to time in lieu of the new batteries imported and sold, and shall send these only to the registered recyclers. I further undertake that I shall submit half-yearly returns as per item (iii) of rule 6 to the State Board and abide by their directions, if any.

Date : .....  
Place : .....

Signature of the Importer

Copy to :- The concerned Customs Authority

FORM-IV

[To be submitted by importer of new lead acid batteries by 30<sup>th</sup> June (for the period October-March and 31<sup>st</sup> December (for the period April-September) every year]

1.	Name and address of Importer	
2.	Name of the authorised person and complete address with telephone and fax numbers	
3.	Number of batteries imported during the period from October to March and April to September	
4.	<p>Number of new batteries sold during the period October-March/ April-September in respect of the following categories</p> <p>Category</p> <p>(i) Automotive</p> <p>(a) Four Wheeler</p> <p>(b) Two Wheeler</p> <p>(ii) Industrial</p> <p>(a) UPS</p> <p>(b) Motive Power</p> <p>(c) Stand-by</p> <p>(iii) Others</p> <p>Number of batteries sold too</p> <p>(i) dealers</p> <p>(ii) bulk consumers</p> <p>(iii) OEM</p> <p>(iv) Any other party for replacement</p>	<p>(i) No. of Batteries</p> <p>(ii) Approximate Weight (in Metric Tonnes)</p>
5.	Name and full address of the designated collection centres	
6.	Total Number of used batteries of different categories as at Sl. No. 3 collected and sent to the registered recyclers*	

\* enclose the complete list.

Place : .....

Date : .....

Signature of the authorised person

FORM-V

[To be submitted by dealers to the manufacturers by 31<sup>st</sup> May (for sale during October-March) and 30<sup>th</sup> November (for sale during April-September) every year]

1.	Name and address of dealer	
2.	Name of the authorised person and complete address with telephone and fax numbers	
3.	<p>Number of new batteries sold during the period October-March/ April-September in respect of the following categories</p> <p>Category</p> <p>(i) Automotive  (a) Four Wheeler  (b) Two Wheeler</p> <p>(ii) Industrial  (a) UPS  (b) Motive Power  (c) Stand-by</p> <p>(iii) Others</p> <p>Number of batteries sold too</p> <p>(i) dealers  (ii) bulk consumers  (iii) OEM  (iv) Any other party for replacement</p>	<p>(i) No. of Batteries</p> <p>(ii) Approximate Weight (in Metric Tonnes)</p>
5.	Total Number of used batteries of different categories as at Sl. No. 3 collected and sent to the registered recyclers*/designated collection centres/manufacturers.	

\* enclose the list of recyclers to whom batteries have been sent of recycling.

Place : .....

Date : .....

Signature of the authorised person

FORM-VI  
[See Rule 8(i), 9(1) & 9(5) ]

**FORM FOR APPLICATION FOR REGISTRATION OF FACILITIES  
POSSESSING ENVIRONMENTALLY SOUND MANAGEMENT PRACTICE  
FOR RECYCLING OF USED LEAD ACID BATTERIES**

*{To be submitted in triplicate}*

1.	Name & Address of the unit			
2.	Contact person with designation, Tel./Fax.			
3.	Date of Commissioning			
4.	No. of Workers (including contract labourers)			
5.	Consent Validity	a) Under Air Act, 1981; Valid upto: b) Under Water Act, 1974, Valid upto:		
6.	Validity of Authorisation under rule 5 of the Hazardous Wastes (Management and Handling) Rules, 1989	Valid upto:		
7.	Installed capacity of production in (MTA)			
8.	Products Manufactured (Tones/Year) during the last three years Name: (a) (b) (c)	Year-1	Year-2	Year-3
9.	Raw material consumer (Tones/Year) Name: (a) (b) (c)	Year-1	Year-2	Year-3
10.	Manufacturing Process	Please attach manufacturing process flow diagram for each product(s).		
11.	Water Consumption	Industrial -		m <sup>3</sup> /day
		Domestic -		m <sup>3</sup> /day
12.	Water Cess paid up to			



13.	Waste Water generation (a) as per consent m <sup>3</sup> /day (b) actual m <sup>3</sup> /day (average of last three months)	Industrial – Domestic –
14.	Waste water treatment (Please provide flow diagram of the treatment scheme)	Industrial – Domestic –
15.	Waste Water discharge	Quantity m <sup>3</sup> /day – Location – <u>Analysis of treated waste water –</u> PH <sub>2</sub> , BOD, COD, SS, O&G, Any other (indicate the corresponding standards applicable)
16.	Air Pollution Control a. Please provide flow diagram for emission control system(s) installed for each process unit, utilities etc. b. Details of facilities provided for control of fugitive emission due to material handling process utilities etc. c. Fuel consumption d. Stack emission monitoring results vis-à-vis the standards applicable e. Ambient air quality	S.No. Name of fuel Qty/day S.No. Stack attached Emmission to g/NM <sup>3</sup> S.No. Location Result ug/m <sup>3</sup>
17.	Hazardous Waste Management a) Waste generation b) Details of collection, treatment c) Disposal (including point of final discharge) (i) Please provide details of the disposal facility (ii) Whether facilities provided are in compliance of the conditions issued by the SPCB in Authorisation. (iii) Please attach analysis report of characterization of hazardous waste generated (including leachate test if applicable)	S.No. Name of Process Qty the Category waste
18.	Details of waste proposed to be taken in auction or import, as the case may be, for use as raw material	1. Name – 2. Quantity Required – 3. Position in List A/List as per Basel Convention (BC) – 4. Nature as per Annexure III of BC

19.	Occupational safety and health aspects	Please provide details of facilities provided
20.	<p>Remarks</p> <p>(i) Whether industry has provided adequate pollution control system/equipment to meet the standards of emission/effluent</p> <p>(ii) Whether industry is in compliance with conditions laid down in the Hazardous Waste Authorisation.</p> <p>(iii) Whether Hazardous Waste collection and Treatment, Storage and Disposal Facility (TDSF) are operating satisfactorily</p> <p>(iv) Whether conditions exist or likely to exist of the material being handled/processed or posing immediate or delayed adverse impacts on the environment.</p> <p>(v) Whether conditions exist or is likely to exist of the material being handled/processed by any mean capable to yielding another material e.g. leachate which may process eco-toxicity.</p>	<p>Yes/No If yes, please furnish details</p> <p>Yes/No</p> <p>Yes/No</p> <p>Yes/No</p> <p>Yes/No</p>
21.	<p>(i) cost of the unit</p> <p>(ii) cost of pollution control equipment including environmental safeguard measures</p> <p>(a) Capital:</p> <p>(b) Recurring:</p>	
22.	<p>Any other information :</p> <p>i)</p> <p>ii)</p> <p>iii)</p>	

I hereby declare that the above statements/information are true and correct to the best of my knowledge and belief.

Date : .....

Place : .....

Signature  
Name  
Designation

FORM-VII

[See rule 8 (iii)]

**FORM FOR FILING RETURNS BY RECYCLERS OF USED BATTERIES**

[To be submitted by recyclers by 30<sup>th</sup> June and 31<sup>st</sup> December of every year]

1.	Name and address of the recycler	
2.	Name of the Authorised person and full address with telephone and fax number	
3.	Installed annual capacity to recycler used batter scrap (in MT)	
4.	Total quantity of used battery scrap purchased from/sent for processing during the period from October-March/April-September	(i) Quantity of used batteries sent by/purchased from the manufacturers. (ii) Quantity of used batteries purchased from the dealers (iii) Quantity of used batteries purchased from auctioneers (iv) Quantity of used batteries obtained from any other source
5.	Quantity of lead recovered from the used battery scrap in (MTA)	
6.	Quantity of recycled lead sent back to	(i) the manufacturer of batteries (ii) other agencies*

\* enclose list of other agencies.

Place : .....

Date : .....

Signature of the authorised person

FORM-VIII

[ see rule 10 (2) (ii)]

**FORM FOR FILING RETURNS FOR BULK CONSUMER OF BATTERIES**

[ To be submitted by the bulk consumer to the State Board by 30<sup>th</sup> June ( for the period October-March ) and 31<sup>st</sup> December ( for the period April-September) every year]

1.	Name and Address of the bulk consumer	
2.	Name of the Authorised person and full address with telephone and fax number	
3.	<p>Number of new batteries of different categories purchased from the manufacturer/importer/dealer or any other agency during October-March and April September</p> <p>Category :</p> <p>(i) Automative (a) four wheelers (b) two wheelers (ii) Industrial (a) UPS (b) Motive Power (c) Stand-by (iii) Others</p>	<p>(i) No. of Batteries (ii) Approximate weight ( in Metric Tonnes )</p>
4.	Number of used batteries of categories mentioned in Sl. No. 3 and Tonnage of scrap sent to manufacturer/ dealer/ importer/ registered recycler/ or any other agency to whom the used batteries scrap was sent*.	

\* Enclose list of manufacture/dealer/importer/registered recyclers/or any other agency to whom the used batteries scrap was sent.

Place -----

Date -----

Signature of the authorised person

FORM-IX

[See rule 11 (ii)]

**FORM FOR FILING RETURNS BY AUCTIONEER OF USED BATTERIES**

[To be submitted by auctioneer to State Board by 30<sup>th</sup> June and 31<sup>st</sup> December of every year]

1.	Name and address of the auctioneer	
2.	Name of the Authorised person and full address with telephone and fax number	
3.	Number of used batteries and total Tonnage (of MT) available during the period from October-March and April-September	
4.	Sources of the used battery scrap	
5.	Number of used batteries and total Tonnage (of MT) auctioned during the period from October-March and April-September	
6.	Number of used batteries and total Tonnage (of MT) sent to the registered recyclers*	

\* enclose a list.

Place : .....

Date : .....

Signature of the authorised Person